

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SP01-208A	Total Pages	2
First Named Inventor or Application Identifier: Yijia Bao et al.			
Title: TREATMENT OF SUBSTRATES FOR IMMOBILIZING BIOMOLECULES			
Express Mail Label No.	EV327189507US		

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on December 5, 2003

(Date)

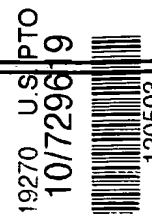
Signature

Thomas R. Beall

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ADDRESS TO:

Mail Stop Patent Application
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450



1. ☒ * Fee Transmittal Form
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 20]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. § 113) [Total Sheets 6]
4. Oath or Declaration [Total Pages 3]
- a. ☐ Executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☒ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Other: Copy of Notice of Recordation of Assignment

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No 09/925,808, filed August 9, 2001

Prior application information: Examiner: Ethan C. Whisenant

Group / Art Unit: 1634

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label 22928 or ☐ Correspondence address below

NAME					
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	607-974-3921	FAX	(607) 974-3848
Name (Print/Type)	Thomas R. Beall		Registration No. (Attorney/Agent)		40,424
Signature			Date	December 5, 2003	

120503

15866 U.S. PTO

FEE TRANSMITTAL for FY 2003

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Yijia Bao, et al.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned

TOTAL AMOUNT OF PAYMENT (\$770.00)
Attorney Docket Number SP01-208A
METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

- ☒ Charge Any Additional Fees Required
Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION
1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	Utility filing fee	770.00
1002	340	Design filing fee	—
1003	530	Plant filing fee	—
1004	770	Reissue filing fee	—
1005	160	Provisional filing fee	—

SUBTOTAL (1) (\$770.00)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	7 - 20** =	x 18 =	00.00
Independent Claims	1 - 3** =	x 86 =	00.00
Multiple Dependent		0 =	0.00

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Fee (\$)	Fee Description
1202	18	Claims in excess of 20
1201	86	Independent claims in excess of 3
1203	290	Multiple dependent claim, if not paid
1204	86	** Reissue independent claims over original patent
1205	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$00.00)

FEE CALCULATION (continued)
3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	Surcharge - late filing fee or oath	—
1052	50	Surcharge - late provisional filing fee or cover sheet	—
1053	130	Non-English specification	—
1812	2,520	For filing a request for reexamination	—
1804	920*	Requesting publication of SIR prior to Examiner action	—
1805	1,840	Requesting publication of SIR after Examiner action	—
1251	110	Extension for reply within first month	—
1252	420	Extension for reply within second month	—
1253	950	Extension for reply within third month	—
1254	1,480	Extension for reply within fourth month	—
1255	2,010	Extension for reply within fifth month	—
1401	330	Notice of Appeal	—
1402	330	Filing a brief in support of an appeal	—
1403	290	Request for oral hearing	—
1451	1,510	Petition to institute a public use proceeding	—
1452	110	Petition to revive - unavoidable	—
1453	1,330	Petition to revive - unintentional	—
1501	1,330	Utility issue fee (or reissue)	—
1502	480	Design issue fee	—
1503	640	Plant issue fee	—
1460	130	Petitions to the Commissioner	—
1807	50	Petitions related to provisional applications	—
1806	180	Submission of Information Disclosure Stmt	—
8021	40	Recording each patent assignment per property (times number of properties)	—
1809	770	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	—
1810	770	For each additional invention to be examined (37 C.F.R § 1.129(b))	—
1801	770	Request for Continued Examination (RCE)	—
1802	900	Request for expedited examination of a design application	—

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY
Completed (if applicable)

Name (Print/Type)	Thomas R. Beall	Registration No. (Attorney/Agent)	40,424
Signature	<i>TR Beall</i>	Date	December 5, 2003